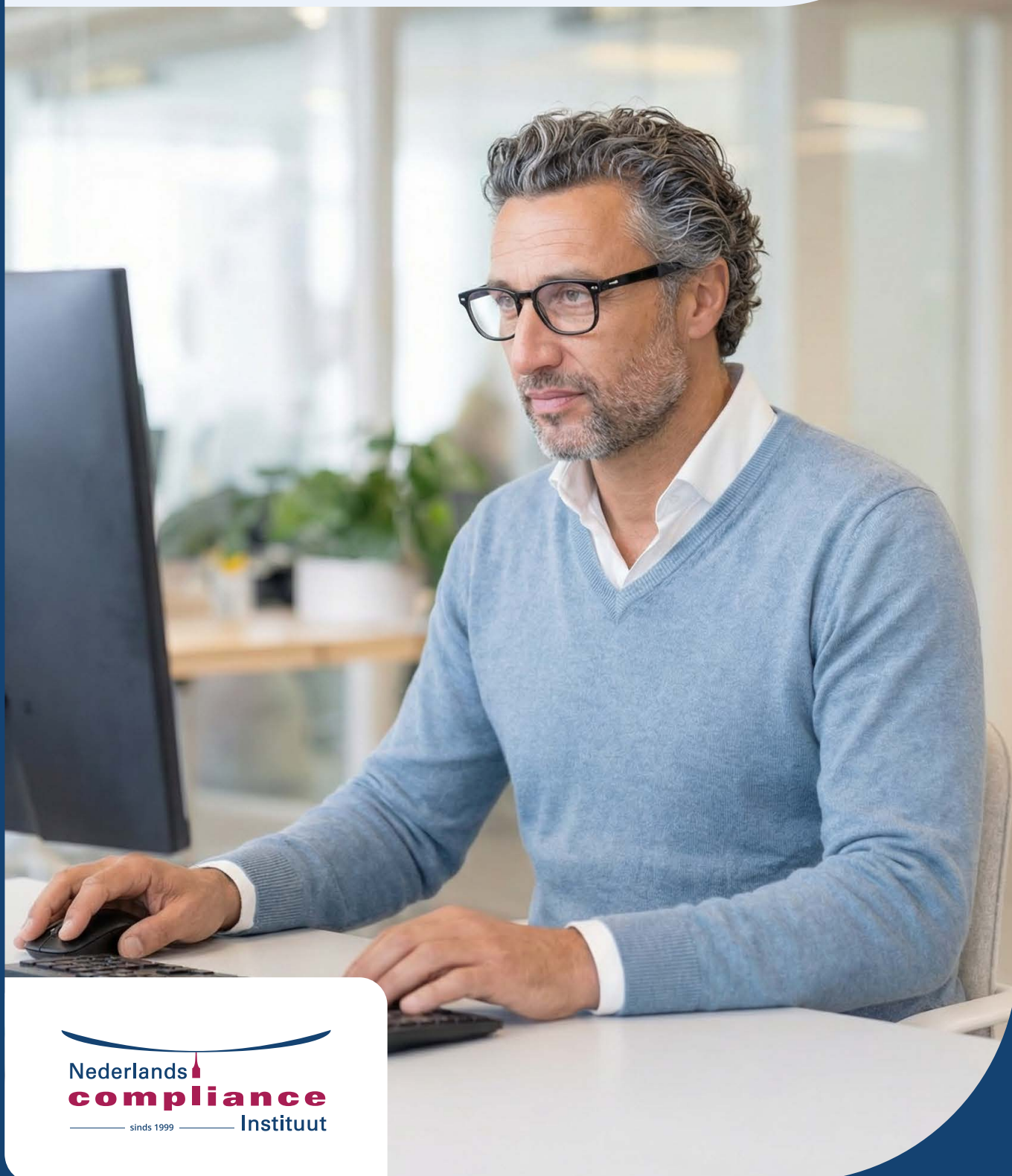


Compliance Professional

Make a difference as a compliance officer with our leading professional education program



Make a Difference: Become a CCP!

Thank you for your interest in the Compliance Professional Program. This program is an excellent next step for professionals who want to specialize in compliance. It provides a strong foundation for a career as a compliance professional while helping you build a valuable network of fellow compliance officers.

CCP

For decades, this leading program has helped shape the professional development of compliance officers both within and outside the financial sector.

Upon successful completion of the program, you may use the title Certified Compliance Professional (CCP). A compliance officer - or a professional in a comparable role - plays a key role in promoting and safeguarding the sound and ethical operation of an organization in accordance with applicable legal and regulatory requirements. Compliance officers need the right mindset and competencies to engage effectively with stakeholders throughout the organization.

Compliance officers have been an established part of the financial sector for several decades. Organizations outside the financial sector are also increasingly recognizing that compliance and organizational integrity are essential to sound business operations.

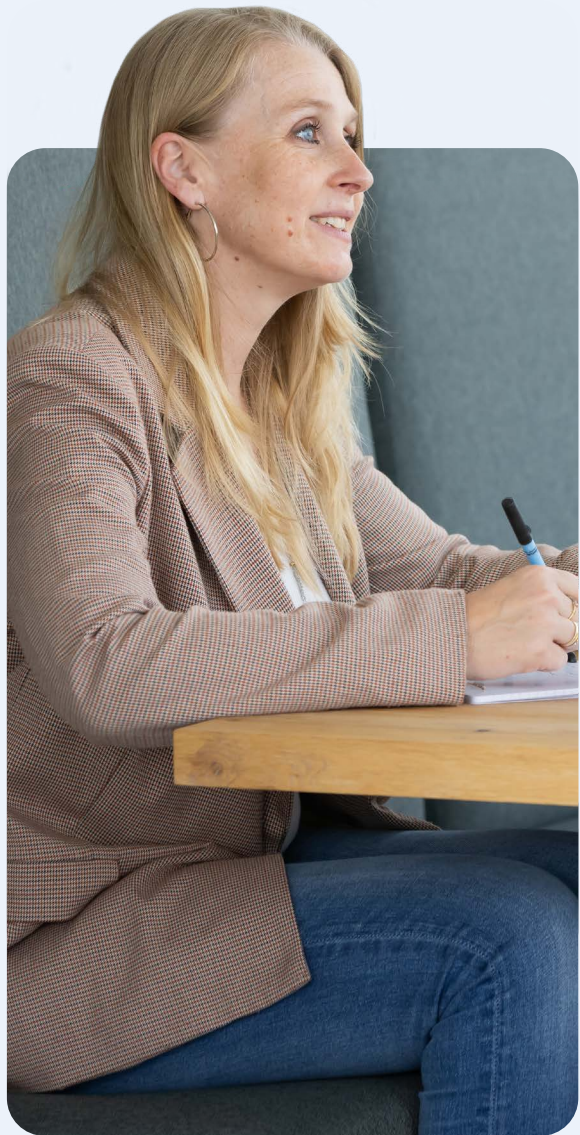
The program develops more than your knowledge. Working with experienced instructors and trainers,

you strengthen the professional competencies you need to be effective as a compliance officer, including communication and stakeholder-management skills.

The Program

For many years, the Compliance Professional Program has been a leading professional education program for compliance officers. It is accredited by DSI and was developed by and for professionals. During the program, you apply theoretical concepts to real-world situations, preparing you to put your knowledge into practice.

In addition to completing two exams, you complete an applied assignment at the end of the program that is tailored to your needs and those of your organization. This enables you to put your newly acquired knowledge into practice immediately. Our instructors receive an average rating of 8.5 out of 10. DSI accreditation further strengthens your professional profile and may support your progression into new or more senior roles within compliance.



Program Objectives

During the program, you will gain insight into:

- The role and responsibilities of the compliance professional.
- Relevant laws and regulations applicable to your organization.
- How to influence behavior and culture to strengthen organizational integrity.
- How to identify and manage compliance risks.
- How to address a broad range of compliance issues, themes, and topics.
- How to navigate ethical issues, dilemmas, and decision-making.

Target audience: Entry-level compliance officers and professionals working in the first line who want to develop toward a compliance role.

Structure

The Compliance Professional Program consists of 24 half-day sessions delivered over 12 days. Each session takes place either in the morning from 9:00 a.m. to 12:30 p.m. or in the afternoon from 1:15 p.m. to 4:45 p.m. Depending on the format selected, the program runs for approximately 9 to 18 months. Participants are expected to prepare for each session.

Program Structure

Before the program sessions begin, you study the Compliance Professional Handbook and complete a pre-course exam. This ensures that all participants start the program with a shared foundation of knowledge, enabling them to follow the sessions and discuss the subject matter effectively with instructors and fellow participants.

You participate in the sessions as part of a consistent cohort. The program is available in several delivery formats, including a fully online option and in-person sessions.

After completing the sessions, you take a written final exam and submit your applied assignment. If you achieve a passing average across the three assessment components, you receive the Compliance Professional Program diploma.

Participant Support

On selected program days, a mentor from the Netherlands Compliance Instituut is available to assist with practical questions about logistics, program organization, or study materials.

Program Components

Compliance: Foundations and Function

The Role of Compliance in the Organization

Explore what compliance means in practice and how to structure the compliance function effectively, with attention to roles, systems, and the relationship between compliance and integrity.

Governance and Organizational Embedding

Deepen your understanding of governance principles, the Three Lines Model, and the position of the compliance function within an organization.

The Regulatory Perspective

Gain insight into the approach and expectations of the Netherlands Authority

for the Financial Markets (AFM) and De Nederlandsche Bank (DNB). Current real-world examples make regulatory supervision tangible and relevant.

Legal and Regulatory Foundations

Learn how legislation and regulation are developed, understand the role of regulatory authorities, and explore how legal requirements affect day-to-day compliance practice. Key areas include the Dutch Financial Supervision Act (Wft), sanctions legislation, the Dutch Anti-Money Laundering and Anti-Terrorist Financing Act (Wwft), the General Data Protection Regulation (GDPR), and relevant European regulations.

The Human Side of Compliance

Behavior and Culture

Examine how group dynamics and organizational culture influence behavior. Learn how to assess behavioral dimensions, address resistance, and encourage conscious and responsible behavior.

Ethical Awareness

Using ethical dilemmas, real-world examples, and structured ethical deliberation, explore

how to embed ethics in your work and throughout the organization.

Reflection and Communication Skills

Develop professionally through peer consultation, reflection, and communication techniques. Practice giving and receiving feedback, reflecting on your own approach, and navigating difficult dilemmas.



Managing Compliance Risks

Risk Management

Analyze integrity risks, determine risk appetite, and translate risk assessments into actionable management information. The program also covers the Systematic Integrity Risk Analysis (SIRA), reporting, soft controls, and monitoring.

Fraud and Incidents

What should you do when an incident occurs? Learn how to identify issues, take appropriate action, and report effectively, including in situations where the right course of action is not immediately clear.

Identifying Market Abuse

Deepen your understanding of inside information, insider dealing, market manipulation, and reporting obligations. Theory and case-based learning are closely integrated.

Corruption and Conflicts of Interest

Learn to recognize warning signs and navigate difficult dilemmas. International legislation and real-world examples help you make well-reasoned decisions.

Regulation and Financial Markets

Duty of Care and Client Interests

How do you put clients' interests into practice? Explore how legal and regulatory requirements, product development, and client communication come together in the duty of care.

Privacy and Data Protection

Build a clear understanding of GDPR concepts, roles, and regulatory oversight, and learn how to handle personal data responsibly.

AML, CDD, and Sanctions

Learn to identify money-laundering risks, conduct customer due diligence (CDD), and comply with reporting obligations and sanctions requirements, including the requirements of the Dutch Anti-Money Laundering and Anti-Terrorist Financing Act (Wwft).

Understanding Financial Markets

What happens in financial markets, and why does it matter for compliance? Develop an understanding of the broader context surrounding financial products, risks, and incidents.

Looking Ahead and Adapting to Change

Sustainability and Integrity

Explore current regulatory developments, risks such as greenwashing, and evolving societal expectations. Learn how to incorporate these themes into your compliance approach with a long-term perspective.

Innovation and Technology

New technologies create both opportunities and risks. Learn how compliance officers can remain involved in innovation - from artificial intelligence to blockchain - and intervene or adjust course when necessary.



Key Topics

- Duty of care and client interests
- Privacy and data protection
- AML, CDD, and sanctions
- Financial markets

Our Teaching Methods

Throughout the program, we use a variety of teaching methods, including interactive formats such as:

Interactive Lectures and Workshops

Instructors explore key concepts interactively, including topics such as laws and regulations, risk management, and ethics.

Practice-Based Case Studies

Work with fellow participants on cases and projects that help you apply your newly acquired knowledge directly in different organizational contexts.

Professional Skills Development

Knowledge alone does not make an effective compliance officer. Throughout the program, we therefore devote substantial attention to developing essential competencies such as problem analysis, communication, and stakeholder engagement. Through communication exercises, peer consultation, and other practical activities, you build the professional skills that make a difference in practice.

Networking Opportunities

The sessions are spread over an extended period, giving participants opportunities to stay in contact between sessions, discuss compliance topics, and exchange knowledge and experience. In this way, you build a valuable professional network that can continue to support you after the program ends.



Practical Information

Start Dates and Duration

The program is offered at various training locations throughout the Netherlands.

Cost: EUR 10,990, exempt from VAT and including course materials, exam fees, and lunches. A payment plan is available upon request (2026 rate). Visit our website for current start dates and schedules.

More information



Interaction

Instructors

We work with a network of experienced compliance and integrity professionals, including professionals from the financial sector. In addition to subject-matter expertise, they bring years of practical experience and use relevant, engaging, and varied examples. More information about our instructors is available on the program page on our website.

Participant Cohort

Although each participant is assessed individually through the program's examinations and assignments, you work closely with fellow participants throughout the program. This strengthens the learning experience and broadens everyone's knowledge and perspective. The network continues beyond the program and includes fellow participants, instructors, alumni, and other professionals.

What Previous Participants Say



Gert-Jan Loman
Rabobank

"I wanted to further develop in my role as a compliance officer, and the Netherlands Compliance Instituut (NCI) has a strong reputation within my organization. I compared several training providers, and NCI stood out positively, particularly because of the modules on ethics and moral judgment. Those appealed to me most. The regulations that compliance officers deal with can be found online, but issues involving ethics and soft controls are especially valuable to explore in depth with a group of professionals from different organizations. I found the program very valuable, both the training days and the various final assignments."



Stefan Demacker
Maprima

"When making my choice, I compared four different training providers. The Netherlands Compliance Instituut offered the best value for money. The schedule and the fact that the program was not delivered exclusively online were also important to me. The preparation and information provided in advance were good, and the training days were well organized. The program gave me practical tools and guidance that have helped me perform my role more effectively. I have also already been able to apply specific knowledge from several parts of the program in practice. I would definitely recommend the Netherlands Compliance Instituut."

Compliance Professional Program at a Glance

The Compliance Professional Program offered by the Nederlands Compliance Instituut is an established, DSI-accredited professional education program designed to develop participants into well-rounded Certified Compliance Professionals (CCPs).

The Compliance Professional Program gives participants insight into:

- The role of the compliance professional, including the knowledge and competencies required for the role.
- Relevant laws and regulations applicable to the organization.
- How to influence behavior and culture to strengthen organizational integrity.
- How to identify and manage compliance risks.
- How to address a broad range of compliance issues, themes, and topics.
- How to navigate ethical issues, dilemmas, and decision-making.

Who Is the Program For?

Entry-level compliance officers and professionals working in the first line who want to develop toward a compliance role.

Investment

The cost is EUR 10,990, exempt from VAT and including course materials, exam fees, and lunches. A payment plan is available (2026 rate).

Cost: € 10.990

The Result

This program enables participants to build a strong professional foundation for contributing to sound and ethical business operations within their organizations. Through their continued connection with the Nederlands Compliance Instituut, participants remain part of an active and relevant professional knowledge network. The Continuing Professional Development program enables them to update and deepen their professional knowledge each year.

Need More Information or Ready to Enroll?



Scan the QR code in the brochure to enroll

Find us on:

www.compliance-instituut.nl



You can reach us at
info@compliance-instituut.nl
or on 010-3032548.